

IN THE UNITED STATES DISTRICT COURT  
FOR THE NORTHERN DISTRICT OF CALIFORNIA

VAN A. PENA,

Plaintiff,

v.

TIMOTHY MEEKER, PATRICIA REES,  
HAL PETERSON, JENNIFER WEAR,  
DENISE SHELDON, CALIFORNIA  
DEPARTMENT OF DEVELOPMENTAL  
SERVICES (DDS), JUDITH BJORNDA  
and NORM KRAMER,

Defendants.

No. C 00-4009 CW

ORDER DENYING  
DEFENDANT JUDITH  
BJORNDA'S MOTIONS  
FOR JUDGMENT AS A  
MATTER OF LAW AND  
FOR A NEW TRIAL

Defendant Judith Bjorndal<sup>1</sup> renews her motion for judgment as a matter of law (JMOL) and moves for a new trial. Plaintiff Van A. Pena opposes both motions. For the reasons set forth below, the Court denies both motions.

BACKGROUND

Plaintiff was formerly employed as a physician at the Sonoma County Development Center (SDC), a public hospital for patients with developmental disabilities. In this action, he alleges that Defendant terminated his employment at SDC in retaliation for engaging in protected free-speech activity, including: (1) filing a lawsuit alleging that he was terminated for speaking out about patient abuse and malpractice at SDC; (2) photographing patient injuries to document such abuse and malpractice; (3) filing a complaint with the California Department of Health Services (CDHS)

<sup>1</sup> Plaintiff previously settled his claims against all other Defendants in this case.

1 alleging that photographs documenting patient injuries were being  
2 improperly removed from patient files; and (4) complaining to SDC  
3 Police Chief Ed Contreras.

4 The Court held a ten-day jury trial in November 2013. On  
5 November 18, 2013, the jury returned a verdict in favor of  
6 Plaintiff. Docket No. 403. Specifically, the jury found that  
7 Plaintiff's original lawsuit,<sup>2</sup> photography of patient injuries,  
8 and complaint to CDHS were all substantial or motivating factors  
9 in Defendant's decision to terminate his employment, but that his  
10 complaints to Chief Contreras were not substantial or motivating  
11 factors in the decision. The jury also found that Plaintiff's  
12 photography of patient injuries and complaint to CDHS fell outside  
13 the scope of his official duties at SDC. Finally, the jury  
14 rejected Defendant's affirmative defense that she would have  
15 terminated Plaintiff's employment even if he had not engaged in  
16 protected free-speech activities. Based on these findings, the  
17 jury awarded Plaintiff just over \$1.3 million in damages. This  
18 award consisted of \$51,942 in past earnings, \$791,434 in future  
19 earnings (reduced to present value), and \$500,000 in emotional  
20 distress damages.

21 The clerk entered judgment against Defendant, Docket No. 410,  
22 and Defendant filed her motions for JMOL and new trial.

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<sup>2</sup> This lawsuit was originally filed on October 31, 2000. In  
25 the original complaint, Plaintiff alleged that Defendants Timothy  
26 Meeker, Patricia Rees, Hal Peterson, Jennifer Wear, Denise Sheldon  
27 and the California Department of Developmental Services retaliated  
28 against him for reporting patient abuse and gross negligence. The  
lawsuit was filed before Defendant Bjorndal began working at SDC  
and before Plaintiff was terminated.

## LEGAL STANDARDS

## I. Motion for JMOL

A motion for judgment as a matter of law after the verdict renews the moving party's prior Rule 50(a) motion for judgment as a matter of law at the close of all the evidence. Fed. R. Civ. P. 50(b). Judgment as a matter of law after the verdict may be granted only when the evidence and its inferences, construed in the light most favorable to the non-moving party, permits only one reasonable conclusion as to the verdict. Josephs v. Pac. Bell, 443 F.3d 1050, 1062 (9th Cir. 2006). Where there is sufficient conflicting evidence, or where reasonable minds could differ over the verdict, judgment as a matter of law after the verdict is improper. See, e.g., Kern v. Levolor Lorentzen, Inc., 899 F.2d 772, 775 (9th Cir. 1990); Air-Sea Forwarders, Inc. v. Air Asia Co., 880 F.2d 176, 181 (9th Cir. 1989).

## II. Motion for New Trial

A new trial may be granted if the verdict is not supported by the evidence. There is no easily articulated formula for passing on such motions. Perhaps the best that can be said is that the Court should grant the motion "[i]f, having given full respect to the jury's findings, the judge on the entire evidence is left with the definite and firm conviction that a mistake has been committed." Landes Constr., Co., Inc. v. Royal Bank of Canada, 833 F.2d 1365, 1371-72 (9th Cir. 1987) (quoting 11 Wright & Miller, Fed. Prac. & Proc. § 2806, at 48-49).

The Ninth Circuit has found that the existence of substantial evidence does not prevent the court from granting a new trial if the verdict is against the clear weight of the evidence. Landes,

1 833 F.2d at 1371. "The judge can weigh the evidence and assess  
2 the credibility of witnesses, and need not view the evidence from  
3 the perspective most favorable to the prevailing party." Id.  
4 Therefore, the standard for evaluating the sufficiency of the  
5 evidence is less stringent than that governing the Rule 50(b)  
6 motions for judgment as a matter of law after the verdict.

#### 7 DISCUSSION

##### 8 I. Motion for JMOL

9 Defendant moves for JMOL on the grounds that several of the  
10 jury's special verdict findings were made without sufficient  
11 evidentiary support in the record. In particular, Defendant  
12 contends that the following jury findings were not supported by  
13 the evidence: that Plaintiff's photography of SDC patient injuries  
14 fell outside the scope of his employment duties; that Plaintiff's  
15 lawsuit, CDHS complaint, and photography of patients were  
16 substantial or motivating factors in the decision to terminate his  
17 employment; and that Defendant would not have terminated  
18 Plaintiff's employment had he not engaged in protected activity.  
19 She also argues that, even if Plaintiff's photography was a  
20 substantial or motivating factor in her termination decision, she  
21 is entitled to qualified immunity for that decision. Each of  
22 these assertions is addressed briefly below.

##### 23 A. Scope of Plaintiff's Employment

24 In Garcetti v. Ceballos, the Supreme Court held that "when  
25 public employees make statements pursuant to their official  
26 duties, the employees are not speaking as citizens for First  
27 Amendment purposes, and the Constitution does not insulate their  
28 communications from employer discipline." 547 U.S. 410, 421

1 (2006). The Court reasoned, "Restricting speech that owes its  
2 existence to a public employee's professional responsibilities  
3 does not infringe any liberties the employee might have enjoyed as  
4 a private citizen. It simply reflects the exercise of employer  
5 control over what the employer itself has commissioned or  
6 created." Id. at 421-22. Here, Defendant contends that Plaintiff  
7 failed to present sufficient evidence at trial to support the  
8 jury's finding that his photography of patient injuries fell  
9 outside the scope of his official job duties.

10 This argument is not persuasive. Former SDC executive  
11 director Timothy Meeker expressly testified that, during the  
12 relevant time period, the hospital never had a policy that  
13 required physicians to photograph suspected patient abuse. Trial  
14 Tr. 423:17-424:10. This evidence is sufficient to support the  
15 jury's finding on this issue. Although Meeker also testified that  
16 SDC physicians were permitted to photograph patient injuries of  
17 unknown origin, id. 369:15-370:1, the jury could have reasonably  
18 concluded that this testimony was consistent with Meeker's  
19 testimony that physicians were not required to photograph patient  
20 abuse.

21 Defendant asserts that Plaintiff's photography of patients  
22 must have fallen within the scope of his employment  
23 responsibilities because he would not have been able to take those  
24 photographs if he were a member of the public and not employed by  
25 SDC. This argument is not supported by the case law. The Ninth  
26 Circuit has held that when a public employee reports misconduct by  
27 a superior or "speaks in direct contravention to his supervisor's  
28 orders, that speech may often fall outside of the speaker's

1 professional duties." Dahlia v. Rodriguez, 735 F.3d 1060, 1075  
2 (9th Cir. 2013) (en banc), cert. denied, 134 S. Ct. 1283 (2014).  
3 Even when a public employee is required to report such misconduct,  
4 he or she still enjoys First Amendment protection for taking steps  
5 to report that misconduct which go beyond his or her ordinary and  
6 expected reporting duties. As the Ninth Circuit has explained,  
7 "if a public employee raises within the department broad concerns  
8 about corruption or systemic abuse, it is unlikely that such  
9 complaints can reasonably be classified as being within the job  
10 duties of an average public employee, except when the employee's  
11 regular job duties involve investigating such conduct, e.g., when  
12 the employee works for Internal Affairs or another such watchdog  
13 unit." Id. at 1075. The evidence does not suggest that Plaintiff  
14 had any affirmative duties to investigate misconduct here, let  
15 alone to provide photographs of any alleged misconduct to  
16 lawmakers or the press. See Trial Tr. 986:9-:14, 892:1-:4  
17 (stating that Plaintiff shared photographs with the SDC police  
18 chief "so he could forward them to the legislature and the local  
19 newspaper").

20 Furthermore, the fact that Plaintiff only learned of the  
21 alleged misconduct by virtue of his employment at SDC and that  
22 members of the public would not have had the same access to SDC  
23 patients does not mean that his photography of patients  
24 necessarily fell within the scope of his employment. Numerous  
25 cases have recognized that a public employee's reports of  
26 misconduct may be protected by the First Amendment even if the  
27 employee learned of the misconduct by virtue of his or her  
28 specific employment position. See, e.g., Marable v. Nitchman, 511

1 F.3d 924, 933 (9th Cir. 2007) (holding that a state transportation  
2 agency engineer's "complaints concerning his superiors allegedly  
3 corrupt overpayment schemes were not in any way a part of his  
4 official job duties"); Freitag v. California Dep't of Corr., 289  
5 Fed. App'x 146, 147 (9th Cir. 2008) (affirming district court  
6 order finding that a guard at a maximum security prison who  
7 reported misconduct to a state correctional agency was acting  
8 outside the scope of her official job duties). Accordingly,  
9 Defendant is not entitled to JMOL on this basis.

10 B. Substantial or Motivating Factors in Defendant's  
11 Termination Decision

12 Defendant challenges the jury's findings that Plaintiff's  
13 lawsuit, CDHS complaint, and photography of patients were  
14 substantial or motivating factors in her decision to terminate his  
15 employment. Each of these findings is addressed separately below.

16 1. Lawsuit

17 Defendant contends that the evidence presented at trial is  
18 not sufficient to show that she was even aware of Plaintiff's  
19 lawsuit prior to his termination. Thus, she contends, Plaintiff's  
20 lawsuit could not have been a substantial or motivating factor in  
21 her decision to terminate his employment.

22 The only direct evidence in the trial record that Defendant  
23 knew of Plaintiff's lawsuit is a letter which Plaintiff allegedly  
24 wrote to her in November 2000. Plaintiff testified that he  
25 drafted the letter in response to a memo Defendant had written in  
26 October 2000 and that he personally delivered the letter to  
27 Defendant in her office. Trial Tr. 845:12-:22. According to  
28 Plaintiff, the letter contained information about the lawsuit he

1 had previously filed against Defendant's friends and superiors at  
2 SDC. Id. Although Plaintiff failed to produce a copy of the  
3 letter at trial, he did produce a document which he claims was a  
4 "hand-written rough draft" of the letter. Id. 845:18-:25.  
5 Defendant denies that she ever received a copy of the letter or  
6 any other information about the lawsuit. Id. 1019:15-:18.

7 While Defendant attacks the credibility of Plaintiff's  
8 testimony and the authenticity of the hand-written draft of the  
9 letter that he produced, she has not shown that no reasonable jury  
10 would credit Plaintiff's testimony and evidence. Nor has she  
11 shown that the elapsed time between Plaintiff's delivery of this  
12 letter and Defendant's adverse employment action -- about eight  
13 months -- was so long as to preclude an inference of retaliatory  
14 motive. Coszalter v. City of Salem, 320 F.3d 968, 977 (9th Cir.  
15 2003) ("The elapsed times between the protected speech and the  
16 adverse actions were eight months, three months, and five months,  
17 respectively. We hold that the magistrate judge erred in holding  
18 that time periods of between three and eight months were too long,  
19 without regard to other circumstances, to support an inference of  
20 retaliation."); Allen v. Iranon, 283 F.3d 1070, 1078 (9th Cir.  
21 2002) ("[A]n eleven-month gap in time is within the range that has  
22 been found to support an inference that an employment decision was  
23 retaliatory.").

24 Moreover, as the Ninth Circuit explained when reversing this  
25 Court's exclusion of SDC Police Chief Ed Contreras's testimony  
26 from an earlier trial in this case,

27 Because a retaliation suit requires a showing of an  
28 employer's improper motive and an employer's true  
motivations are particularly difficult to ascertain,



1 retaliation cases often turn upon circumstantial  
2 evidence. Here, the fact that SDC leaders, including  
3 Bjorndal's direct superior, desired Pena's termination  
4 so strongly that they were willing to engage the SDC  
Police Chief in a cloak-and-dagger investigation of Pena  
would allow a jury to infer that those leaders would  
have communicated that desire to Bjorndal.

5 Pena v. Meeker, 435 Fed. App'x 602, 604 (9th Cir. 2011) (internal  
6 citations and quotation marks omitted). This same evidence allows  
7 a jury to infer that SDC leaders would have informed Bjorndal of  
8 the lawsuit.

9 Accordingly, Plaintiff's evidence is sufficient to support  
10 the jury's finding on this issue.

## 11 2. CDHS Complaint

12 Defendant contends that the trial record lacks sufficient  
13 evidence to show that she knew of Plaintiff's CDHS complaint  
14 against SDC before Plaintiff's employment was terminated. She  
15 argues that she had no way of knowing that he had filed such a  
16 complaint because he never mentioned that he had done so and  
17 because CDHS protects the identity of its complainants.

18 Plaintiff contends that Defendant knew he filed a CDHS  
19 complaint against SDC, citing evidence that Defendant met with him  
20 less than a week after CDHS validated his complaint to discuss the  
21 subject matter of the complaint -- specifically, his practice of  
22 photographing certain patient injuries. See Trial Tr. 606:2-:12,  
23 Ex. 14. Moreover, on the date that CDHS validated Plaintiff's  
24 complaint, the Executive Director issued a directive "regarding  
25 the removal of material, including photographs from the clinical  
26 record." Ex. 14. Plaintiff also highlights evidence that SDC's  
27 executive team, including Defendant, met that same week to discuss  
28 the CDHS complaint and expressed concern that Plaintiff was

1 photographing patients. Id. 1031:15-:20. Defendant herself  
2 testified that she was afraid a "big deal" was being made about  
3 the fact that Plaintiff was taking photographs of patients and  
4 putting them in their records.

5 Construed in a light favorable to Plaintiff, this evidence is  
6 sufficient to support an inference that Defendant knew Plaintiff  
7 filed the CDHS complaint and sought to take adverse action against  
8 him in retaliation. As the Ninth Circuit explained after  
9 considering this same evidence in an appeal from a summary  
10 judgment order in this case,

11 That SDC supervisory personnel viewed Pena as  
12 a troublesome whistleblower and that his  
13 taking of patient photographs was raised at  
14 both an executive committee meeting and in a  
15 meeting between Bjorndal and Pena only one  
16 week after the SDC had been compelled by DHS  
17 to implement a Plan of Correction on the  
18 subject of patient photographs provides strong  
19 circumstantial evidence from which a  
20 reasonable factfinder could infer that SDC  
21 leadership, including Bjorndal, suspected Pena  
22 of having filed the DHS complaint and  
23 retaliated against him on that basis.

24 Pena, 435 Fed. App'x at 604 (emphasis added). Thus, Plaintiff's  
25 evidence is sufficient to support the jury's finding on this  
26 issue.

### 27 3. Photography of Patients

28 Defendant contends that Plaintiff's photography of patients  
was not a substantial or motivating factor in her decision to  
terminate his employment. She concedes that she failed to  
challenge this finding in her pre-verdict Rule 50(a) motion for  
JMOL. Accordingly, this finding may only be reviewed for plain  
error. EEOC v. Go Daddy Software, Inc., 581 F.3d 951, 961 (9th

1 Cir. 2009) (“[I]n ruling on a Rule 50(b) motion based on grounds  
2 not previously asserted in a Rule 50(a) motion, ‘we are limited to  
3 reviewing the jury’s verdict for plain error, and should reverse  
4 only if such plain error would result in a manifest miscarriage of  
5 justice.’” (citations omitted)). The Ninth Circuit has warned  
6 that this is an “‘extraordinarily deferential’” standard and “‘is  
7 limited to whether there was any evidence to support the jury’s  
8 verdict.’” Id. at 962 (citations omitted; emphasis in original).

9 Here, Plaintiff presented evidence to support the jury’s  
10 finding that Defendant’s termination decision was motivated at  
11 least in part by his photography. Specifically, Plaintiff  
12 testified that he and Defendant had a meeting in which she  
13 specifically told him to stop taking photographs. Trial Tr.  
14 606:2-:12. He also solicited testimony from Defendant that she  
15 knew about the photographs, asked him to stop taking further  
16 photographs, and “was afraid that [] other administrators were  
17 going to make a big deal about what I assumed was one of my  
18 physicians taking photographs [without patient consent].” Trial  
19 Tr. 981:25-982:18, 1037:15-:18, 1038:3-:12. Although this  
20 evidence is circumstantial, it is nevertheless sufficient to  
21 support the jury’s finding, particularly under the plain error  
22 standard.

23 C. Affirmative Defense Based on Defendant’s Other Asserted  
24 Grounds for Termination Decision

25 Defendant contends that the evidence presented at trial  
26 supported her affirmative defense that she would have terminated  
27 Plaintiff’s employment regardless of whether or not he engaged in  
28 protected activity. Because she acknowledges that she failed to

1 raise this argument in her pre-verdict Rule 50(a) motion, the  
2 Court may only review the jury's finding on this issue for plain  
3 error. Once again, this standard is "'extraordinarily  
4 deferential.'" Go Daddy Software, Inc., 581 F.3d at 962  
5 (citations omitted). Furthermore, given that this is an  
6 affirmative defense, Defendant bears the ultimate burden of proof  
7 on this issue. While Defendant presented some evidence at trial  
8 to show that she terminated Plaintiff based on his decision to  
9 issue a "Do Not Resuscitate" (DNR) order in March 2001, this  
10 evidence was not sufficient to preclude a reasonable jury from  
11 finding that she failed to prove this fact by a preponderance of  
12 the evidence. Accordingly, she is not entitled to JMOL on the  
13 basis of her affirmative defense.

14 D. Qualified Immunity for Termination Based on Plaintiff's  
15 Photography

16 "Qualified immunity shields federal and state officials from  
17 money damages unless a plaintiff pleads facts showing (1) that the  
18 official violated a statutory or constitutional right, and  
19 (2) that the right was 'clearly established' at the time of the  
20 challenged conduct." Ashcroft v. al-Kidd, 131 S. Ct. 2074, 2080  
21 (2011). Here, Defendant asserts that she is shielded by qualified  
22 immunity because "no physician enjoys a clearly established  
23 constitutional right to photograph their patients such that a  
24 supervisor admonishing him to not take such photos without consent  
25 would reasonably anticipate that her instruction impinged on the  
26 physician's First Amendment rights." Docket No. 414, Def.'s Mot.  
27 JMOL, at 4. This argument mischaracterizes the First Amendment  
28 right that Defendant is accused of violating.

1 Plaintiff does not assert that Defendant violated his right  
2 to photograph SDC patients without their consent; rather, as noted  
3 above, he asserts that she violated his right to document patient  
4 abuse and malpractice at a public hospital. This right is clearly  
5 established under existing case law. See Ulrich v. City & Cnty.  
6 of San Francisco, 308 F.3d 968, 978-79 (9th Cir. 2002) ("Thus,  
7 public employee speech is protected when it . . . highlights  
8 inappropriate standards affecting patient care at a public  
9 hospital." (citations omitted)). Defendant cannot gain the  
10 benefits of qualified immunity by simply redefining or narrowing  
11 the First Amendment right Plaintiff has asserted. Kelley v. Borg,  
12 60 F.3d 664, 667 (9th Cir. 1995) (noting that, in determining  
13 whether a right is "clearly established," a court must not define  
14 the right so narrowly as "to define away all potential claims").

15 Furthermore, regardless of whether or not Defendant actually  
16 believed that Plaintiff was photographing patients unjustifiably  
17 without their consent, the Court cannot assume, in light of the  
18 evidence presented at trial, she would have terminated his  
19 employment for this reason alone. As previously discussed,  
20 Plaintiff offered sufficient evidence to support a finding that he  
21 was terminated specifically for photographing patient abuse and  
22 malpractice and not merely for photographing patients without  
23 their consent. Thus, Defendant is not entitled to qualified  
24 immunity on this claim.

## 25 II. Motion for New Trial

26 Defendant moves for a new trial on the following grounds: the  
27 jury's special verdict findings were against the clear weight of  
28 the evidence; Defendant was prejudiced by the Court's refusal to

1 instruct or allow questioning based on the term "professional  
2 responsibilities"; the admission of certain purportedly  
3 inflammatory and prejudicial evidence; Plaintiff's distortion of  
4 damages evidence regarding his post-termination work experience;  
5 the jury's purportedly excessive damages award; the Court's  
6 questions of Defendant; and the admission of irrelevant evidence  
7 regarding a hospital patient Plaintiff treated. Each of these  
8 grounds is addressed below.

9 A. Jury's Special Verdict Findings

10 Defendant's arguments that the jury's findings are against  
11 the clear weight of the evidence fail for the same reasons her  
12 motion for JMOL fails. Although the standard under Rule 59 is  
13 slightly different from the standard under Rule 50(b), Plaintiff  
14 presented sufficient evidence -- much of which is discussed above  
15 -- to support the jury's verdict under either standard.

16 B. The Court's Refusal to Instruct or Allow Questioning on  
17 Garcetti's "Professional Responsibilities"

18 As noted above, the Supreme Court held in Garcetti that the  
19 First Amendment does not "protect[] a government employee from  
20 discipline based on speech made pursuant to the employee's  
21 official duties." 547 U.S. at 413. Relying on Garcetti, this  
22 Court gave the following instruction to the jury in this case: "To  
23 recover for an adverse employment action based on expressive  
24 conduct related to his employment, [Plaintiff] must prove that,  
25 number one, [he] acted as a citizen and not as a part of his  
26  
27  
28

1 official duties and, number two, his action was on a matter of  
2 public concern." Trial Tr. 177:8-:12.<sup>3</sup>

3 Defendant contends that the Court erred by failing to add the  
4 term "professional responsibilities" to this instruction, as she  
5 requested on the first day of trial. See Trial Tr. 136:19-147:19.  
6 She argues that she was prejudiced by the omission of this term  
7 from the jury instructions.<sup>4</sup> According to Defendant, the term  
8 "professional responsibilities" is more expansive than the term  
9 "official duties" because it encompasses work that is not  
10 explicitly required by an employer but, rather, "involves the use  
11 of discretion, the exercise of judgment, and the weighing of  
12 alternative courses of action." Docket No. 416, Def.'s Mot. New  
13 Trial, at 8.

14 Although Garcetti uses both terms, it does not appear to draw  
15 any distinction between them. The only time the Garcetti Court  
16 used the term, "professional responsibilities," was in the context  
17 of "official duties." The Garcetti Court stated, "The significant  
18 point is that the memo was written pursuant to Ceballos' official  
19 duties. Restricting speech that owes its existence to a public

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20 <sup>3</sup> The Court used similar language throughout the jury instructions.  
21 See, e.g., Trial Tr. 177:15-:16 ("[I]t is for you to decide whether  
22 [Plaintiff] acted as a citizen, not as part of his official duties" when  
he engaged in certain alleged conduct.").

23 <sup>4</sup> Defendant also argues that she was prejudiced by the  
24 Court's refusal to allow her to use the term "professional  
25 responsibility" to question witnesses about the responsibilities  
26 of SDC staff members. However, Defendant's only citation to the  
27 record in support of this argument is an instance in which the  
28 Court sustained an objection to questioning regarding the  
"professional responsibilities" of a staff member who was not a  
doctor. The basis of the objection was that the staff member's  
responsibilities were not the same as Plaintiff's. See Trial Tr.  
399:19-400:16.

employee's professional responsibilities does not infringe any liberties the employee might have enjoyed as a private citizen." 547 U.S. at 421-22. This language suggests that the Court was using the term "professional responsibilities" as a synonym for "official duties." Moreover, the majority in Garcetti used the term "official duties" four times and used the term "professional responsibilities" only once. The Court also used the hybrid terms "professional duties" twice and "official responsibilities" twice, further suggesting that the Court viewed these words and terms as largely interchangeable.<sup>5</sup> Courts in this circuit, likewise, do not seem to distinguish between the two terms. See, e.g., Dahlia, 753 F.3d at 1077 ("It is possible that Dahlia's professional duties required him to meet with IA at IA's insistence, but it is also plausible that Dahlia's act of meeting with IA was outside his job duties for the purpose of the First Amendment."). Thus, Defendant was not prejudiced by the use of the term "official duties" rather than "professional responsibilities" in the jury instructions.

#### C. Admission of Purportedly Inflammatory Evidence

Defendant contends that Plaintiff was permitted to introduce certain irrelevant and prejudicial evidence regarding his lawsuit and the alleged misconduct of several members of SDC's managerial staff other than Defendant. She asserts that this evidence was

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<sup>5</sup> Justice Breyer noted in his dissent that certain forms of professional speech, such as speech by lawyers, are "subject to independent regulation by canons of the profession." 547 U.S. at 446 (Breyer, J., dissenting). But he argued that these forms of speech are entitled to greater First Amendment protection -- not lesser protection -- so, to the extent he believes there is a difference between "official duties" and "professional responsibilities," his views cut against Defendant's position here.



1 introduced in order to "create prejudice in the jury against SDC  
2 administrators by showing repeated, baseless investigations of  
3 [Plaintiff] and to create an atmosphere of impropriety,  
4 negligence, abuse and cover-up that would taint [Defendant] simply  
5 because she was also in management." Def.'s Mot. New Trial at 11.

6 Defendant cites various excerpts from the testimony of  
7 Meeker, Contreras and Jennifer Wear, another SDC administrator, as  
8 examples of inflammatory evidence. Although much of this  
9 testimony involved incidents of misconduct in which Defendant  
10 herself never participated, these incidents were nevertheless  
11 relevant to Plaintiff's underlying retaliation claim because he  
12 complained about them to other SDC staff members or police. As  
13 such, the testimony about these incidents was relevant to show  
14 that Plaintiff had a reputation among SDC managers as a  
15 whistleblower at SDC. The Ninth Circuit previously held that this  
16 type of reputational evidence in this case was relevant. Pena,  
17 435 Fed. App'x at 603 ("Pena presented evidence in opposition to  
18 Bjorndal's motion for summary judgment indicating that he had a  
19 reputation among his superiors at SDC, including Meeker, as a  
20 repeat whistleblower whose complaints of patient mistreatment  
21 threatened to subject SDC to legal liability.")

22 What's more, the Court took steps to limit whatever  
23 prejudicial effects this testimony might have. For instance, when  
24 Meeker was testifying about the incident involving the rape of an  
25 SDC patient -- an event for which Plaintiff filed the incident  
26 report -- the Court repeatedly cautioned Plaintiff's counsel not  
27 to discuss events that did not directly involve Plaintiff. Trial  
28 Tr. 352:23-256:3. In fact, the Court specifically ended this line

1 of questioning once it began to touch on subjects unrelated to  
2 Plaintiff's reporting of the incident. Id. 356:1-:3.

3 Plaintiff also notes that Defendant failed to object to much  
4 of this testimony at trial. While this failure does not, as  
5 Plaintiff argues,<sup>6</sup> constitute a waiver of these arguments for the  
6 purposes of moving for a new trial, Defendant's failure to object  
7 does counsel against finding that "a mistake has been committed"  
8 at trial. Landes Constr., 833 F.2d at 1371-72.

9 Accordingly, in light of the probative value of the  
10 challenged evidence, the Court's efforts to minimize whatever  
11 prejudice it might cause, and Defendant's failure to object to  
12 some of it at trial, Defendant is not entitled to a new trial on  
13 this ground.

14 D. Plaintiff's Alleged Distortion of Damages Evidence

15 Defendant argues that she was prejudiced by the testimony of  
16 Plaintiff and his wife regarding his post-termination emotional  
17 distress and the exclusion of other evidence regarding his  
18 subsequent termination from another job. Specifically, she argues  
19 that Plaintiff's testimony was misleading because he stated that  
20 he was terminated because he took time off to care for his wife  
21 following surgery. Defendant argues that she should have been  
22 permitted to present testimony from Plaintiff's supervisor,  
23 indicating that he was terminated because he prescribed narcotic

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24 <sup>6</sup> The case Plaintiff cites to argue that Defendant waived these  
25 arguments is inapposite because it addresses the waiver of certain  
26 evidentiary objections on appeal that were never raised at trial. Price  
27 v. Kramer, 200 F.3d 1237, 1251 (9th Cir. 2000). The case does not  
28 preclude a litigant from raising arguments in a motion for new trial  
based on the admission of evidence to which the litigant failed  
previously to object.

1 medication for one patient but gave it to another patient.  
2 Defendant further argues that the testimony of Plaintiff's wife  
3 was misleading because it failed to distinguish between the  
4 emotional distress caused by Plaintiff's termination from SDC and  
5 the emotional distress he suffered as a result of his termination  
6 from another position six years later.

7       However, the Court excluded the testimony of the supervisor  
8 after Plaintiff offered to limit his damages for emotional  
9 distress to those incurred prior to January 1, 2007. Based on  
10 Plaintiff's agreement to do so, the Court found that testimony  
11 from the supervisor would be more prejudicial than probative.  
12 Moreover, the Court instructed the jury that it could only  
13 consider damages for emotional distress suffered from March 5,  
14 2001 until January 1, 2007. The jury awarded approximately sixty  
15 percent of the emotional distress damages Plaintiff requested.

16       The Court further notes that Defendant's counsel stated that,  
17 if Plaintiff would stipulate that he was terminated and that  
18 damages for emotional distress would be cut off as of the date of  
19 his termination, "we don't need this witness," referring to the  
20 supervisor. Trial Tr. 1049:1-6. Moreover, Defendant asked the  
21 Court to strike Plaintiff's testimony regarding the reason for his  
22 2007 termination if it was going to exclude the testimony of the  
23 former supervisor. The Court agreed to do so "but in a more  
24 neutral way" by instructing the jury that it "shouldn't take into  
25 account any events that occurred after 2007" and advised that  
26 Defendant's counsel could write the instruction. Id. 1058:18-22.  
27 Although Defendant argues that the jury's damage award was based  
28 on a misunderstanding of the emotional distress evidence, she has

1 not presented any compelling reasons to believe that this is the  
2 case. Thus, this does not provide grounds for a new trial.

3 E. Damage Award and Mitigation Evidence

4 Defendant contends that the jury's economic damage award is  
5 not supported by the evidence because it does not properly account  
6 for Plaintiff's failure to mitigate his losses after his  
7 employment at SDC was terminated.

8 "Reasonable jurors need not accept the views of one side's  
9 expert or the other's, but may make their own reasonable judgment  
10 on the evidence, accepting part, all, or none of any witness's  
11 testimony." In re Exxon Valdez, 270 F.3d 1215, 1248 (9th Cir.  
12 2001). When a jury awards the exact amount of damages proposed by  
13 one party's expert, it "is reasonable to infer that the damages  
14 award properly resulted from basic calculations based on evidence  
15 in the record." Melbye v. Accelerated Payment Technologies, Inc.,  
16 2012 WL 5944644, at \*6 (S.D. Cal.) (denying Rule 59 motion for new  
17 trial where it was obvious that the jury's damage award was  
18 derived from evidence in the record).

19 As Plaintiff points out, the jury awarded him the exact  
20 amount of economic damages that his expert testified he should  
21 receive. See Trial Tr. 1279:1-8, 1290:13. Plaintiff's expert  
22 used the actual amount of Plaintiff's post-termination earnings to  
23 determine how much Plaintiff mitigated his losses, rather than  
24 relying on the more in-depth labor market analysis that  
25 Defendant's expert used. This decision was reasonable in light of  
26 Plaintiff's testimony about his efforts to mitigate his losses. A  
27 jury could have reasonably concluded that Plaintiff made every  
28 realistic effort to obtain new full-time employment after he was

1 dismissed from SDC and, thus, that Plaintiff's expert's  
2 methodology was reliable. Thus, the jury's decision to credit the  
3 damages estimate of Plaintiff's expert -- and to reject the  
4 estimate of Defendant's expert -- was reasonably supported by the  
5 evidence.

6 F. The Court's Questioning of Defendant

7 Defendant testified that she met with Plaintiff for the first  
8 time on October 25, 2000, shortly after she arrived at SDC. She  
9 said that at the meeting she told Plaintiff that she "didn't want  
10 to be concerned about any events. I didn't even want to hear  
11 about them. I didn't know about them. I don't want to hear about  
12 them, any events before I was there." Trial Tr. 978:4-:7.

13 Pressing her to explain the conversation, the Court told her that  
14 it seemed "sort of odd . . . doesn't seem to be a normal thing  
15 that you would say to somebody when you first met them . . . It  
16 sort of says, What past events?" Id. 979:24-980:3. Defendant  
17 reiterated that she had not identified any events and that  
18 Plaintiff had not inquired. Defendant contends that this exchange  
19 was prejudicial.

20 The Court's inquiry of Defendant does not provide grounds for  
21 granting a new trial. The Court's questions were intended to  
22 clarify Defendant's testimony and were similar to clarifying  
23 questions that the Court asked other witnesses, including  
24 Plaintiff's witnesses. See, e.g., id. 319:9-321:11 (questioning  
25 Contreras); 326:2-23 (same). Furthermore, to the extent that any  
26 of the Court's questions were prejudicial, that prejudice was  
27 minimal and cured by jury instructions directing the jurors to  
28

1 make all credibility determinations independently based on their  
2 own views of the evidence.

3 G. Admission of Irrelevant Evidence

4 Defendant asserts that she was prejudiced by the admission of  
5 a Psychiatric Consultation Report (entered into evidence as  
6 Exhibit 22), a Nursing Admission Note (Exhibit 24), and a  
7 Discharge Note (Exhibit 27) regarding Elizabeth R., the patient  
8 for whom Plaintiff issued the DNR order in March 2001. Defendant  
9 argues that this evidence was both irrelevant and prejudicial  
10 because it suggested that Elizabeth R. lacked the capacity to give  
11 informed consent for CPR.

12 Elizabeth R.'s capacity to give informed consent to CPR was  
13 directly relevant to Defendant's affirmative defense. Defendant  
14 argued that Plaintiff's employment would have been terminated  
15 based on his treatment of Elizabeth R., regardless of whether he  
16 engaged in any protected activity. This defense placed in issue  
17 Elizabeth R.'s capacity to object to Plaintiff's decision to issue  
18 a DNR order.

19 Furthermore, the exhibits Defendant challenges focused on the  
20 capacity of an SDC patient to give informed consent to certain  
21 procedures; they did not discuss the conduct or competence of any  
22 SDC administrators. As such, these exhibits were not unduly  
23 prejudicial towards Defendant. Given the probative value of this  
24 evidence and its limited potential for prejudicing the jury  
25 against Defendant, the Court did not err in admitting this  
26 evidence at trial.

CONCLUSION

For the reasons set forth above, the Court DENIES Defendant's motion for JMOL (Docket No. 414) and motion for new trial (Docket No. 416).

IT IS SO ORDERED.

Dated: 9/18/2014

  
CLAUDIA WILKEN  
United States District Judge